

SECTOR 3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/669,815	09/26/2000	Yuichiro Imanishi	782_133

Burr & Brown
P O Box 7068
Syracuse, NY 13261-7068



FORMALITIES LETTER



OC000000005535175

Date Mailed: 11/06/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$732.
 - \$342 for 19 total claims over 20.
 - \$390 for 5 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1552.

A copy of this notice **MUST** be returned with the reply.

Shawyer
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/20/2000 09669815 00000034 09669815
710.00 OP
130.00 OP
342.00 OP
400.00 OP
01 FC:101
02 FC:105
03 FC:103
04 FC:102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Yuichiro IMANISHI, Naohiro SHIMIZU and Shozo ISHII

Serial No.: 09/669,815

Art Unit: 2881

Filed: September 26, 2000

Examiner: Not Assigned

For: METHOD OF TREATING SUBSTANCE AND APPARATUS FOR CARRYING OUT THE SAME

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington D.C. 20231 on December 13, 2000.

Elizabeth A. VanAntwerp

COMPLETION OF FILING REQUIREMENTS
— NONPROVISIONAL APPLICATION

(check and complete this item, if applicable)

- I. ☒ This replies to the Notice to File Missing Parts of Nonprovisional Application mailed November 6, 2000.
- ☒ A copy of the Notice to File Missing Parts of Nonprovisional Application—Filing Date Granted is enclosed.

DECLARATION OR OATH

- II. ☒ No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

OTHER PAPERS ENCLOSED

- III. ☐ A Preliminary Amendment
☒ A Claim for Priority and a certified copy of Japanese Application 11-272,603 filed September 27, 1999
☒ Assignment with Assignment Transmittal

TRANSMITTAL OF ENGLISH TRANSLATION
OF NON-ENGLISH LANGUAGE PAPERS

- IV. ☐ Submitted herewith is an English translation of the non-English language application papers as originally filed. Also submitted herewith is a statement by the translator of the accuracy of the translation. It is requested that this translation be used as the copy for examination purposes in the PTO.

SMALL ENTITY STATUS

V. ☐ Applicant(s) claims small entity status. See 37 C.F.R. § 1.27.

COMPLETION FEES

VI. **WARNING:** *Failure to submit the surcharge fees where required will cause the application to become abandoned. 37 C.F.R. 1.53.*

NOTE: *For effect on fees of failure to establish status, or change status, as a small entity, see 37 C.F.R. 1.28(a).*

1. Filing Fee
☒ Original Patent Application \$ 710.00
(37 C.F.R. 1.16(a) --\$710.00; Small Entity--\$355.00)
 2. Fees for Claims (39 Claims; 8 Indep.)
☒ each independent claim in excess of 3 \$ 400.00
(37 C.F.R. 1.16(b)--\$80.00; small entity--\$40.00)
☒ each claim in excess of 20 \$ 342.00
(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00)
☐ multiple dependent claim(s) \$.00
(37 C.F.R. 1.16(d)--\$270.00; small entity--\$135.00)
 3. Surcharge fees
☒ late payment of filing fee \$ 130.00
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)

and/or

☒ late filing of original declaration or oath \$.00
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)

NOTE: *If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).*
 4. ☐ Petition and fee for filing by other than all the inventors or a person not the inventor \$.00
(37 C.F.R. 1.17(i) and 1.47--\$130.00)
 5. ☐ Fee for processing an application filed with a specification in a non-English language \$.00
(37 C.F.R. 1.17(k) and 1.52(d)--\$130.00)
 6. ☒ Assignment Fee \$ 40.00
- Total Completion Fees \$ 1,622.00**

EXTENSION OF TIME

VII.

(complete (a) or (b), as applicable)

The proceedings herein are for a patent application, and the provisions of 37 C.F.R. 1.136(a) apply.

- (a) ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. 1.17(a)(1)-(4), for the total number of months checked below:

Extension (Months)		Fee for other than small entity	Fee for small entity
	One Month	\$ 110.00	\$ 55.00
	Two Months	\$ 380.00	\$ 190.00
	Three Months	\$ 870.00	\$ 435.00

Extension Fee

\$ 00.00

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for _____ months has already been secured, and the fee paid therefor of \$ _____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ 00.00

OR

- (b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

TOTAL FEE DUE

VIII.

The total fee due is:	Completion fee(s)	\$ 1,622.00
	Extension fee (if any)	\$ <u> .00</u>
	Total Fee Due	\$ 1,622.00

PAYMENT OF FEES

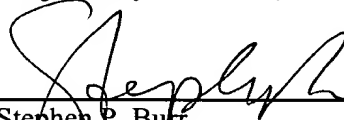
IX.

- ☒ Enclosed is a check in the amount of \$1,622.00.
☐ Charge Account No. 50-1446 in the amount of \$ _____. A duplicate of this request is attached.

**AUTHORIZATION TO CHARGE ADDITIONAL FEES OR
CREDIT OVERPAYMENT**

- X. ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Stephen P. Burr
Reg. No. 32,970

December 13, 2000

Date

SPB/eav

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